

**FEC  
FORM 3X****REPORT OF RECEIPTS  
AND DISBURSEMENTS**  
For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) TYPE OR PRINT ▼ Example: If typing, type over the lines.

12FE4M5

Credit Suisse Securities (USA) LLC Political Action Committee

ADDRESS (number and street) ▼

1201 F Street, NW Suite 450

☐ Check if different than previously reported. (ACC)

Washington

DC

20004

2. FEC IDENTIFICATION NUMBER ▼

CITY ▲

STATE ▲

ZIP CODE ▲

C C00111559

3. IS THIS  
REPORTNEW  
(N)

OR

AMENDED  
(A)

## 4. TYPE OF REPORT

(Choose One)

(a) Quarterly Reports:

☐ April 15  
Quarterly Report (Q1)☐ July 15  
Quarterly Report (Q2)☐ October 15  
Quarterly Report (Q3)☐ January 31  
Year-End Report (YE)☐ July 31 Mid-Year  
Report (Non-election  
Year Only) (MY)☐ Termination Report  
(TER)(b) Monthly  
Report  
Due On:☐ Feb 20 (M2)☐ May 20 (M5)☐ Aug 20 (M8)☐ Nov 20 (M11)  
(Non-Election  
Year Only)☒ Mar 20 (M3)☐ Jun 20 (M6)☐ Sep 20 (M9)☐ Dec 20 (M12)  
(Non-Election  
Year Only)☐ Apr 20 (M4)☐ Jul 20 (M7)☐ Oct 20 (M10)☐ Jan 31 (YE)

(c) 12-Day

PRE-Election

Report for the:

☐ Primary (12P)☐ Convention (12C)☐ General (12G)☐ Special (12S)☐ Runoff (12R)

Election on

M M M /

D D D /

Y Y Y Y Y Y Y Y

in the  
State of

(d) 30-Day

POST-Election

Report for the:

☐ General (30G)☐ Runoff (30R)☐ Special (30S)

Election on

M M M /

D D D /

Y Y Y Y Y Y Y Y

in the  
State of

5. Covering Period

M M M /

D D D /

Y Y Y Y Y Y Y Y

through

M M M /

D D D /

Y Y Y Y Y Y Y Y

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Cecilia Guarin

Signature of Treasurer

Cecilia Guarin

[Electronically Filed]

Date

M M M /

D D D /

Y Y Y Y Y Y Y Y

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

Office  
Use  
Only**FEC FORM 3X**  
Rev. 12/2004

# SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)

Page 2

Write or Type Committee Name

Credit Suisse Securities (USA) LLC Political Action Committee

Report Covering the Period: From: M M / D D / Y Y Y Y Y Y  
02 01 2015 To: M M / D D / Y Y Y Y Y Y  
02 28 2015

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, <span style="border: 1px solid black; padding: 2px;">Y Y Y Y Y Y</span> <span style="border: 1px solid black; padding: 2px;">2015</span>		<span style="border: 1px solid black; padding: 2px;">205452.01</span>
(b) Cash on Hand at Beginning of Reporting Period.....	<span style="border: 1px solid black; padding: 2px;">234830.00</span>	
(c) Total Receipts (from Line 19) .....	<span style="border: 1px solid black; padding: 2px;">28661.41</span>	<span style="border: 1px solid black; padding: 2px;">58039.40</span>
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B).....	<span style="border: 1px solid black; padding: 2px;">263491.41</span>	<span style="border: 1px solid black; padding: 2px;">263491.41</span>
7. Total Disbursements (from Line 31) .....	<span style="border: 1px solid black; padding: 2px;">0.00</span>	<span style="border: 1px solid black; padding: 2px;">0.00</span>
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)) .....	<span style="border: 1px solid black; padding: 2px;">263491.41</span>	<span style="border: 1px solid black; padding: 2px;">263491.41</span>
9. Debts and Obligations Owed <b>TO</b> the Committee (Itemize all on Schedule C and/or Schedule D) .....	<span style="border: 1px solid black; padding: 2px;">0.00</span>	
10. Debts and Obligations Owed <b>BY</b> the Committee (Itemize all on Schedule C and/or Schedule D) .....	<span style="border: 1px solid black; padding: 2px;">0.00</span>	

☒ This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

## For further information contact:

Federal Election Commission  
999 E Street, NW  
Washington, DC 20463

Toll Free 800-424-9530  
Local 202-694-1100

# **DETAILED SUMMARY PAGE** of Receipts

FEC Form 3X (Rev. 06/2004)

Page 3

Write or Type Committee Name

Credit Suisse Securities (USA) LLC Political Action Committee

Report Covering the Period:

From:

M M M	/	D D D	/	Y Y Y Y Y
02		01		2015

To:

M M M	/	D D D	/	Y Y Y Y Y
02		28		2015

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A).....	9198.90	18298.72
(ii) Unitemized .....	19462.51	39740.68
(iii) TOTAL (add Lines 11(a)(i) and (ii))..... ▶	28661.41	58039.40
(b) Political Party Committees .....	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry Totals to Line 33, page 5) .....	28661.41	58039.40
12. Transfers From Affiliated/Other Party Committees.....	0.00	0.00
13. All Loans Received .....	0.00	0.00
14. Loan Repayments Received.....	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5).....	0.00	0.00
16. Refunds of Contributions Made to Federal Candidates and Other Political Committees.....	0.00	0.00
17. Other Federal Receipts (Dividends, Interest, etc.).....	0.00	0.00
18. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account (from Schedule H3) .....	0.00	0.00
(b) Levin Funds (from Schedule H5) .....	0.00	0.00
(c) Total Transfers (add 18(a) and 18(b))..	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c)) .....	28661.41	58039.40
20. Total Federal Receipts (subtract Line 18(c) from Line 19) .....	28661.41	58039.40

**DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share .....	0.00	0.00
(ii) Non-Federal Share.....	0.00	0.00
(b) Other Federal Operating Expenditures .....	0.00	0.00
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b)) .....	0.00	0.00
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	0.00	0.00
24. Independent Expenditures (use Schedule E) .....	0.00	0.00
25. Coordinated Party Expenditures (2 U.S.C. §441a(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees .....	0.00	0.00
(b) Political Party Committees .....	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)).....	0.00	0.00
29. Other Disbursements .....	0.00	0.00
30. Federal Election Activity (2 U.S.C. §431(20))		
(a) Allocated Federal Election Activity (from Schedule H6)		
(i) Federal Share .....	0.00	0.00
(ii) "Levin" Share.....	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds .....	0.00	0.00
(c) Total Federal Election Activity (add .. Lines 30(a)(i), 30(a)(ii) and 30(b))....	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) ..	0.00	0.00
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....	0.00	0.00

**DETAILED SUMMARY PAGE**  
of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) (from Line 11(d), page 3) .....	28661.41	58039.40
34. Total Contribution Refunds (from Line 28(d)) .....	0.00	0.00
35. Net Contributions (other than loans) (subtract Line 34 from Line 33) .....	28661.41	58039.40
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) ..... ►	0.00	0.00
37. Offsets to Operating Expenditures (from Line 15, page 3).....	0.00	0.00
38. Net Operating Expenditures (subtract Line 37 from Line 36) ..... ►	0.00	0.00

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 6 OF 19

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. John S Harrison

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

416.64

Date of Receipt

M M / D D / Y Y Y Y Y Y  
02 / 28 / 2015

Transaction ID : PR113248610040

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Gerald M Lodge

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

416.64

Date of Receipt

M M / D D / Y Y Y Y Y Y  
02 / 28 / 2015

Transaction ID : PR113249910040

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Lewis H Wirshba

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

416.64

Date of Receipt

M M / D D / Y Y Y Y Y Y  
02 / 28 / 2015

Transaction ID : PR113254610040

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

624.96

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 7 OF 19

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Michael Paliotta

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

416.68

Date of Receipt

M M / D D / Y Y Y Y Y Y  
02 / 28 / 2015

Transaction ID : PR113256510040

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Philip S Vasan

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

416.64

Date of Receipt

M M / D D / Y Y Y Y Y Y  
02 / 28 / 2015

Transaction ID : PR113260810040

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Samuel H Schwartz

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

766.68

Date of Receipt

M M / D D / Y Y Y Y Y Y  
02 / 28 / 2015

Transaction ID : PR113261610040

Amount of Each Receipt this Period

83.34

P/R Deduction (\$41.67 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

500.00

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER: PAGE 8 OF 19

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Thomas E Fusco

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Credit Suisse Securities (USA) LLC

Occupation

Investment Banking

Receipt For:

☐  
☐

Primary

☐

General

Other (specify) ▼

Aggregate Year-to-Date ▼

416.64

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
02 / 28 / 2015

Transaction ID : PR113263010040

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Edmund F Taylor

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Credit Suisse Securities (USA) LLC

Occupation

Investment Banking

Receipt For:

☐  
☐

Primary

☐

General

Other (specify) ▼

Aggregate Year-to-Date ▼

833.32

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
02 / 28 / 2015

Transaction ID : PR113263610040

Amount of Each Receipt this Period

416.66

P/R Deduction (\$208.33 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Orlando W Knauss

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Credit Suisse Securities (USA) LLC

Occupation

Investment Banking

Receipt For:

☐  
☐

Primary

☐

General

Other (specify) ▼

Aggregate Year-to-Date ▼

416.68

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
02 / 28 / 2015

Transaction ID : PR113264610040

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

833.32

TOTAL This Period (last page this line number only)..... ►



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 9 OF 19

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

**A. Mark Barres**

Mailing Address 11 Madison Ave

City State Zip Code  
 New York NY 10010

FEC ID number of contributing federal political committee.

C

Name of Employer  
 Credit Suisse Securities (USA) LLC

Occupation  
 Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

833.36

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
 02 28 2015

Transaction ID : PR113278110040

Amount of Each Receipt this Period

416.68

P/R Deduction (\$208.34 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Robert L Basso**

Mailing Address 11 Madison Ave

City State Zip Code  
 New York NY 10010

FEC ID number of contributing federal political committee.

C

Name of Employer  
 Credit Suisse Securities (USA) LLC

Occupation  
 Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

416.64

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
 02 28 2015

Transaction ID : PR123643410040

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. Stephen R Dunne**

Mailing Address 11 Madison Ave

City State Zip Code  
 New York NY 10010

FEC ID number of contributing federal political committee.

C

Name of Employer  
 Credit Suisse Securities (USA) LLC

Occupation  
 Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

416.64

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
 02 28 2015

Transaction ID : PR123648110040

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

833.32

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 10 OF 19

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Stephen E. Gray

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

416.64

Date of Receipt

M M / D D / Y Y Y Y Y Y  
02 / 28 / 2015

Transaction ID : PR123650810040

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Robert Jain

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

416.64

Date of Receipt

M M / D D / Y Y Y Y Y Y  
02 / 28 / 2015

Transaction ID : PR123653210040

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Bruce Klein

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

416.64

Date of Receipt

M M / D D / Y Y Y Y Y Y  
02 / 28 / 2015

Transaction ID : PR123654410040

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

624.96

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 11 OF 19

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Stefano Natella

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

416.64

Date of Receipt

M M / D D / Y Y Y Y Y Y  
02 / 28 / 2015

Transaction ID : PR123659010040

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Joseph E Reece

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

416.64

Date of Receipt

M M / D D / Y Y Y Y Y Y  
02 / 28 / 2015

Transaction ID : PR123660610040

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Dean Wilson Ervin

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

666.64

Date of Receipt

M M / D D / Y Y Y Y Y Y  
02 / 28 / 2015

Transaction ID : PR126913910040

Amount of Each Receipt this Period

333.32

P/R Deduction (\$166.66 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

749.96

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 12 OF 19

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Timothy P O'Hara

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

833.32

Date of Receipt

M M / D D / Y Y Y Y Y Y  
02 / 28 / 2015

Transaction ID : PR126928010040

Amount of Each Receipt this Period

416.66

P/R Deduction (\$208.33 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Sean T Brady

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

666.68

Date of Receipt

M M / D D / Y Y Y Y Y Y  
02 / 28 / 2015

Transaction ID : PR330613710040

Amount of Each Receipt this Period

333.34

P/R Deduction (\$166.67 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Robert E Durham

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

210.52

Date of Receipt

M M / D D / Y Y Y Y Y Y  
02 / 28 / 2015

Transaction ID : PR330614610040

Amount of Each Receipt this Period

105.26

P/R Deduction (\$52.63 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

855.26

# SCHEDULE A (FEC Form 3X)

## ITEMIZED RECEIPTS

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 13 OF 19

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. James F Martin

Mailing Address 11 Madison Ave

City  
New YorkState Zip Code  
NY 10010FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLCOccupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

416.68

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
02 28 2015

Transaction ID : PR335835510040

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Balaji Gopalakrishnan

Mailing Address 11 Madison Ave

City  
New YorkState Zip Code  
NY 10010FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLCOccupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

235.28

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
02 28 2015

Transaction ID : PR335838710040

Amount of Each Receipt this Period

117.64

P/R Deduction (\$58.82 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Robert S Shafir

Mailing Address 11 Madison Ave

City  
New YorkState Zip Code  
NY 10010FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLCOccupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

416.64

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
02 28 2015

Transaction ID : PR384788910040

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

534.30

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 14 OF 19

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

**A. Brian Chin**

Mailing Address 11 Madison Ave

City  
New YorkState  
NYZip Code  
10010FEC ID number of contributing  
federal political committee.

C

Name of Employer

Credit Suisse Securities (USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

833.32

Date of Receipt

M M / D D / Y Y Y Y Y Y  
02 / 28 / 2015

Transaction ID : PR392080610040

Amount of Each Receipt this Period

416.66

P/R Deduction (\$208.33 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Margaret M Gage**

Mailing Address 11 Madison Ave

City  
New YorkState  
NYZip Code  
10010FEC ID number of contributing  
federal political committee.

C

Name of Employer

Credit Suisse Securities (USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

416.68

Date of Receipt

M M / D D / Y Y Y Y Y Y  
02 / 28 / 2015

Transaction ID : PR393160210040

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. Jeffrey D Jennings**

Mailing Address 11 Madison Ave

City  
New YorkState  
NYZip Code  
10010FEC ID number of contributing  
federal political committee.

C

Name of Employer

Credit Suisse Securities (USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

416.64

Date of Receipt

M M / D D / Y Y Y Y Y Y  
02 / 28 / 2015

Transaction ID : PR438244210040

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

833.32

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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(check only one)

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NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Lara J. Warner**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

416.64

Date of Receipt

02 / 28 / 2015

Transaction ID : PR438249010040

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Scott W Soules**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

416.64

Date of Receipt

02 / 28 / 2015

Transaction ID : PR674439010040

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. Paul E Germain**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

333.32

Date of Receipt

02 / 28 / 2015

Transaction ID : PR679681210040

Amount of Each Receipt this Period

166.66

P/R Deduction (\$83.33 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

583.30

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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(check only one)

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NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Jay Kim**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

416.64

Date of Receipt

02 / 28 / 2015

**Transaction ID : PR711935410040**

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Jessica A Mandel**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

333.32

Date of Receipt

02 / 28 / 2015

**Transaction ID : PR750863610040**

Amount of Each Receipt this Period

166.66

P/R Deduction (\$83.33 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. Cullen C Lee**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

285.72

Date of Receipt

02 / 28 / 2015

**Transaction ID : PR823785610040**

Amount of Each Receipt this Period

142.86

P/R Deduction (\$71.43 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

517.84



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER:  
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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Mark Frank Brown**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

416.68

Date of Receipt

02 / 28 / 2015

Transaction ID : PR826797310040

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Thomas Joseph Koffer**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

416.68

Date of Receipt

02 / 28 / 2015

Transaction ID : PR826797810040

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. John G Popp**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

666.68

Date of Receipt

02 / 28 / 2015

Transaction ID : PR826798510040

Amount of Each Receipt this Period

333.34

P/R Deduction (\$166.67 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

750.02

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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Detailed Summary Page

FOR LINE NUMBER:  
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NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. David Wong**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

416.64

Date of Receipt

02 / 28 / 2015

Transaction ID : PR826799210040

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Thomas R Mercein**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

666.68

Date of Receipt

02 / 28 / 2015

Transaction ID : PR830060310040

Amount of Each Receipt this Period

333.34

P/R Deduction (\$166.67 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. Lesley C Sigall**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

416.68

Date of Receipt

02 / 28 / 2015

Transaction ID : PR830060810040

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

750.00

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
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PAGE 19 OF 19

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Terrence Thompson**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

416.68

Date of Receipt

M M / D D / Y Y Y Y Y Y  
02 / 28 / 2015

**Transaction ID : PR830061010040**

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B.**

Mailing Address

City

State Zip Code

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Occupation

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt

M M / D D / Y Y Y Y Y Y

Amount of Each Receipt this Period

Full Name (Last, First, Middle Initial)

**C.**

Mailing Address

City

State Zip Code

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Occupation

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt

M M / D D / Y Y Y Y Y Y

Amount of Each Receipt this Period

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

208.34

9198.90